

# Who handles data: overview of different actors

#### António Atalaia

EURO-NMD clinical advisor, APHP Pitié Salpêtrière, INSERM U974, Sorbonne University, Paris, France



| Feature                           | Data Controller                | Data Processor                           |
|-----------------------------------|--------------------------------|--|
| <b>Determines purpose of data</b> | ∀ Yes                          | <b>X</b> No                              |
| Determines how data is used       | ∀ Yes                          | ➤ No (follows controller's instructions) |
| Primary legal responsibility      | ∀ Yes                          | Shared but secondary                     |
| Signs contracts with processors   | ∀ Yes                          | ✓ Must comply                            |
| Data subject interaction          | Direct (e.g., patients, users) | Indirect (e.g., service provider)        |

#### **Examples in healthcare:**

A **hospital** is the controller.

An EHR vendor like Epic or Cerner is a processor.

A cloud platform (e.g., AWS storing encrypted health data) is a sub-processor.



#### What is a data handler?



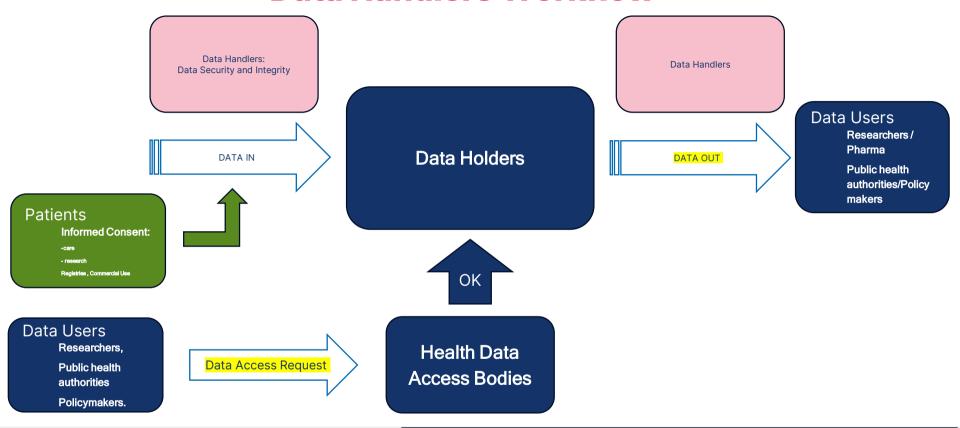
| Definition           | An entity or institution that <b>owns or controls access</b> to health data (e.g., hospitals, national registries, research institutes).                                    | A broader term referring to any party involved in the collection, processing, storing, or transferring health data.  |
|----------------------|---|--|
| Legal Standing       | Legally responsible for <b>making data available</b> for primary or secondary use under GDPR and EHDS regulations.  | Encompasses operational roles under GDPR as data processors or data controllers depending on function.   |
| Typical Examples     | <ul><li>Hospitals with EHRs</li><li>National cancer registries</li><li>Biobanks</li><li>Laboratories</li><li>ERN registries</li></ul>                                       | <ul> <li>IT system providers</li> <li>Cloud storage services</li> <li>Data processors (e.g., research institutions analyzing data)</li> <li>Healthcare professionals inputting EHR data</li> </ul> |
| Key Responsibilities | <ul> <li>Maintain data integrity</li> <li>Ensure data security and compliance</li> <li>Cooperate with Health Data Access Bodies (HDABs) for lawful secondary use</li> </ul> | <ul> <li>Ensure secure handling of data throughout its lifecycle</li> <li>Follow technical and security protocols</li> <li>Support interoperability and data sharing infrastructure</li> </ul>     |

**Data Holder** 

**Data Handler** 



#### **Data Handlers Workflow**



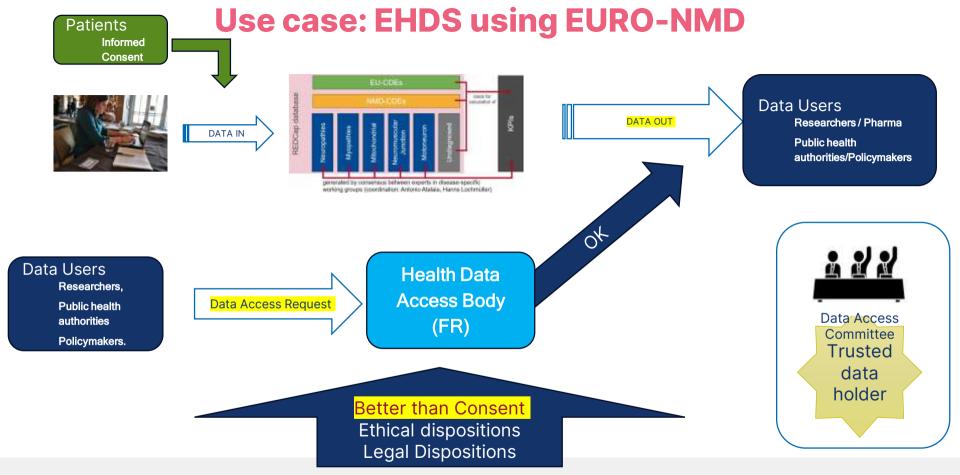


#### **Data Handlers duties:**

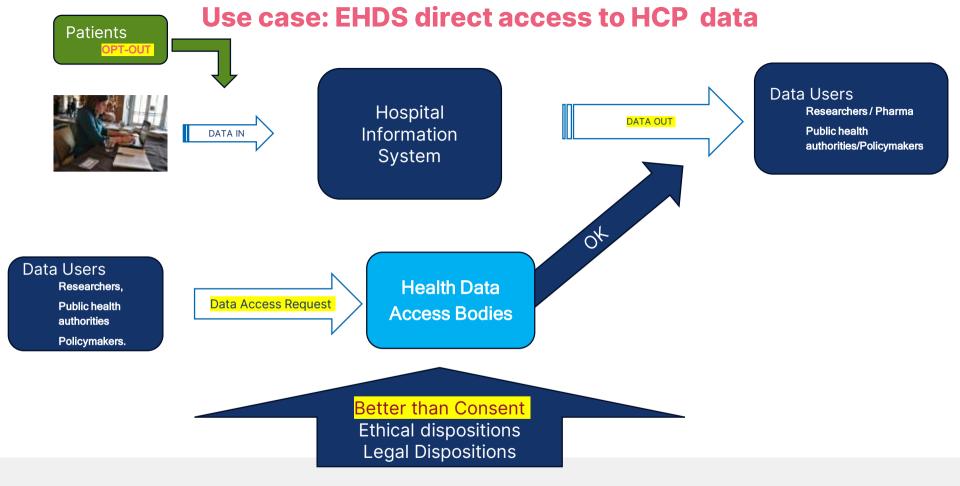
- Maintain data integrity
- Ensure data security and compliance
- Cooperate with Health Data Access Bodies (HDABs) for lawful secondary use

#### **Use case: EURO-NMD Registry** Patient Portal Dynamic Data Users Complete for DATA IN Consent Researchers / Pharma DATA OUT Management Public health PROMS app authorities/Policymakers "PROMMY" working groups (coordination: Antonio Atalaia, Hanns Lipchmider) Access own data **Data Users** Data Access Request Researchers. **Data Access** Public health Patient Reps Committee authorities Policymakers. Patient Consent Ethical dispositions **Legal Dispositions**











- Objectives of EHDS:
  - Enable easy access and control over electronic health data.
  - Facilitate secure secondary use of health data for research, policy, and innovation.
  - Establish a governance framework ensuring privacy and compliance.



#### **Health Data Access Bodies:**

- Member States are required to designate health data access bodies responsible for granting access to electronic health data for secondary use.
- These bodies manage the access to data, ensuring it is used in compliance with the regulations, and act as intermediaries between data holders and users.



#### Responsibilities of Data Holders:

- Data holders (entities in the health or research sector) must provide access to electronic health data for secondary use, which includes research, policymaking, and innovation.
- They must ensure proper documentation, contribute to dataset catalogs, and comply with security and data quality measures.



#### **Data Users and Permissions:**

- Data users must apply for data permits, specifying the intended purpose, security measures, and expected outcomes of data usage.
- The health data access body decides on applications based on predefined legal and ethical guidelines.



#### **Security and Compliance:**

- Electronic health data must be accessed within a secure processing environment, which includes measures to restrict unauthorized access, prevent data modification, and ensure data anonymity when necessary.
- Joint controllers, including data users and health data access bodies, share responsibility for data processing security.



#### **Cross-Border Data Access:**

The document outlines mechanisms for cross-border data access through the HealthData@EU initiative, ensuring data can be shared across Member States efficiently while maintaining legal compliance.



Better-Than-Consent Mechanism and Opt-Out Model for Patient Data in EHDS

1. The "Better-Than-Consent" Mechanism for Data Access

The "better-than-consent" approach is proposed as an alternative to traditional individual consent for secondary use of electronic health data. Instead of relying solely on explicit patient consent, the European Health Data Space (EHDS) establishes a trusted governance framework that grants controlled access to quality health data for researchers, policymakers, and innovators.



# Better-Than-Consent Mechanism and Opt-Out Model for Patient Data in EHDS

#### **Key Features:**

- Secure Data Access: Researchers and policymakers can access anonymized or pseudonymized data via secure processing environments rather than relying on direct consent from each individual.
- Lower Administrative Costs: Reduces costs associated with obtaining and managing individual consents.
- Standardized Access Framework: Ensures uniform and transparent data-sharing mechanisms across EU Member States.
- Data Permits: Users must apply for data access permits, which are reviewed and granted based on legal, ethical, and scientific justifications.



#### Rationale for Better-Than-Consent Mechanism:

- The current fragmented consent-based system limits cross-border research.
- The opt-in model is inefficient, as obtaining direct patient consent for every research project is time-consuming and may exclude important datasets.
- The governance model ensures greater public trust in health data use while preserving privacy and security.



# E:D **European Rare Diseases** Research Alliance



# Thank you!

Lorem ipsum dolor sit amet, consectetuer adipiscing elit, sed diam nonummy nibh euismod tincidunt ut laoreet dolore magna aliquam erat volutpat. Ut wisi enim ad minim veniam, quis nostrud exerci tation ullamcorper suscipit lobortis nisl ut aliquip ex ea commodo conseguat.











Co-funded by the European Union

ERDERA has received funding from the European Union's Horizon Europe research and innovation programme under grant agreement N°101156595.

Views and opinions expressed are those of the author(s) only and do not necessarily reflect those of the European Union or any other granting authority, who cannot be held responsible for them.